# A case study in double categories 

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February 10, 2021

## Part I

Morphisms

## Ring

- The category Ring has (not necessarily commutative) rings with 1 as objects and homomorphisms preserving 1 as morphisms
- This is a very good category. It's monadic over Set, so complete and cocomplete. It's locally finitely presentable, etc.
- So why mess with it?


## Bimodules

- Given rings $R$ and $S$, an $S$ - $R$-bimodule $M$ is simultaneously a left $S$-module and a right $R$-module whose left and right actions commute

$$
(s m) r=s(m r)
$$

- If $T$ is another ring and $N$ a $T$-S-bimodule, the tensor product over $S, N \otimes s M$ is naturally a $T$ - $R$-bimodule. We have associativity isomorphisms

$$
P \otimes_{T}\left(N \otimes_{S} M\right) \cong\left(P \otimes_{T} N\right) \otimes_{S} M
$$

and unit isomorphisms

$$
M \otimes_{R} R \cong M \cong S \otimes_{S} M
$$

- To keep track of the various rings involved and what's acting on what and on which side we can write

$$
M: R \longrightarrow S
$$

to mean that $M$ is an $S$ - $R$-bimodule

- The tensor product looks like a composition



## Bicategories

- Rings with bimodules as morphisms is not a category but a bicategory, Bim
- In a bicategory we have objects and morphisms which compose, but composition is only associative and unitary up to isomorphism
- To express this isomorphism we need morphisms between morphisms

called 2-cells


## $\mathcal{B i m}$

- In our example Bim
- Objects are rings
- Morphisms (1-cells) are bimodules
- A 2-cell

is a linear map of bimodules, i.e. a function such that

$$
\begin{gathered}
\phi\left(m_{1}+m_{2}\right)=\phi\left(m_{1}\right)+\phi\left(m_{2}\right) \\
\phi(s m)=s \phi(m) \\
\phi(m r)=\phi(m) r
\end{gathered}
$$

- Bim is a very good bicategory
- Cartesian bicategory
- Biclosed

$$
\frac{M \rightarrow N \otimes_{T} P}{N \otimes_{S} M \rightarrow P} \frac{N \rightarrow P \oslash_{R} M}{N}
$$

## Double categories

- A double category $\mathbb{A}$ has objects $(A, B, C, D$ below) and two kinds of morphism, strong, which we call horizontal ( $f, g$ below) and weak, or vertical ( $v, w$ below) These are related by a further kind of morphism, double cells as in

- The horizontal arrows form a category HorA with composition denoted by juxtaposition and identities by $1_{A}$. Cells can also be composed horizontally forming a category
- The vertical arrows compose to give a bicategory Vert $\mathbb{A}$ whose 2-cells are the globular cells of $\mathbb{A}$, i.e. those with identities on the top and bottom


Vertical composition is denoted by $\bullet$ and vertical identities by $\mathrm{id}_{A}$

## Example

$\mathbb{R} e l$ has sets as objects and functions as horizontal arrows, so $\operatorname{Hor} \mathbb{R} e l=$ Set. A vertical arrow $R: X \longrightarrow Y$ is a relation between $X$ and $Y$ and there is a unique cell

if (and only if) we have

$$
\forall_{x, y}\left(x \sim_{R} y \Rightarrow f(x) \sim_{R^{\prime}} g(y)\right)
$$

## The double category $\mathbb{R}$ ing

- Objects are rings
- Horizontal arrows are homomorphisms
- Vertical arrows are bimodules
- A double cell

is a linear map in the sense that it preserves addition and is compatible with the actions

$$
\begin{aligned}
& \phi(s m)=g(s) \phi(m) \\
& \phi(m r)=\phi(m) f(r)
\end{aligned}
$$

- Vertical composition is $\otimes$


## Companions

- Let $\mathbb{A}$ be a double category, $f: A \longrightarrow B$ a horizontal arrow, and $v: A \longrightarrow B$ a vertical one in $\mathbb{A}$. We say that $v$ is a companion of $f$ if we are given cells, the binding cells, $\alpha$ and $\beta$, such that



Companions, when they exist, are unique up to isomorphism, and we use the notation $f_{*}$ to denote a choice of companion for $f$

- In $\mathbb{R e l}$, every function $f: A \longrightarrow B$ has a companion, viz. its graph $\operatorname{Gr}(f) \subseteq A \times B$


## Companions in $\mathbb{R}$ ing

## Proposition

(a) In $\mathbb{R}$ ing, every homomorphism $f: R \longrightarrow S$ has a companion, namely $S$ considered as an $S$ - $R$-bimodule with actions $\diamond$ given by

$$
\begin{aligned}
s^{\prime} \diamond s & =s^{\prime} s \\
s_{\diamond r} & =s f(r)
\end{aligned}
$$

(b) A bimodule $M: R \longrightarrow S$ is a companion, i.e. is of the form $f_{*}$ for some horizontal arrow $f$, if and only if it is free of rank 1 as a left $S$-module
(c) Homomorphisms corresponding to different free generators are related by conjugation by a unit of S

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There is an invertible element $a \in S$ such that $n=a m$
If $g$ is the homomorphism determined by $n$,
then $g(r) n=n r=a m r=a f(r) m=a f(r) a^{-1} n$

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so $g(r)=a f(r) a^{-1}$

## Conjoints

- Let $f: A \longrightarrow B$ be a horizontal arrow in a double category $\mathbb{A}$ and $v: B \longrightarrow A$ a vertical one. We say that $v$ is conjoint to $f$ if we are given cells $\psi$ and $\chi$ (conjunctions) such that
- In $\mathbb{R}$ ing, every homomorphism $f: R \longrightarrow S$ has a conjoint $f^{*}$, namely $S: S \longrightarrow R$ with left action by $R$ given by "restriction"

$$
r \diamond s=f(r) s
$$

## Rank 2

- Homomorphisms $f: R \longrightarrow S$ correspond to bimodules $M: R \longrightarrow S$ which are free on one generator as left $S$-modules
- What if $M$ is free on 2 generators?
- Assume $M$ free on $m_{1}, m_{2}$ as a left $S$-module. Nothing is said about the right action (as before). Then for each $r \in R$ we get unique $s_{11}, s_{12}, s_{21}, s_{22} \in S$ such that

$$
\begin{aligned}
m_{1} r & =s_{11} m_{1}+s_{12} m_{2} \\
m_{2} r & =s_{21} m_{1}+s_{22} m_{2}
\end{aligned}
$$

Let's denote $s_{i j}$ by $f_{i j}(r)$. So to each $r$ we associate not 2 but 4 elements of $S$ or rather a $2 \times 2$ matrix in $S$

## Rank $p$

If $M$ is free on $p$ generators $m_{1}, \ldots, m_{p}$ :

$$
m_{i} r=\sum_{j=1}^{p} f_{i j}(r) m_{j}
$$

## Theorem

(a) Any matrix-valued homomorphism $f: R \longrightarrow \operatorname{Mat}_{p}(S)$ induces an $S$ - $R$-bimodule structure on $S^{(p)}$
(b) Any $S$ - $R$-bimodule $M: R \longrightarrow S$ which is free on $p$ generators as a left $S$-module is isomorphic (as on $S$ - $R$-bimodule) to $S^{(p)}$ with $R$-action induced by a homomorphism $f: R \longrightarrow \operatorname{Mat}_{p}(S)$ as in (a)
(c) Homomorphisms corresponding to different free generators are related by conjugation by an invertible $p \times p$ matrix $A$ in $\operatorname{Mat}_{p}(S)$

## Example

(Pairs of homomorphisms)
Let $f, g: R \longrightarrow S$ be homomorphisms. Then we get a homomorphism $h: R \longrightarrow \operatorname{Mat}_{2}(S)$ given by

$$
h(r)=\left[\begin{array}{lr}
f(r) & 0 \\
0 & g(r)
\end{array}\right]
$$

## Example

(Derivations)
Let $f: R \longrightarrow S$ be a homomorphism and $d$ an $f$-derivation, i.e. an additive function $d: R \longrightarrow S$ such that

$$
d\left(r r^{\prime}\right)=d(r) f\left(r^{\prime}\right)+f(r) d\left(r^{\prime}\right)
$$

Then we get a homomorphism $R \rightarrow \operatorname{Mat}_{2}(S)$

$$
r \longmapsto\left[\begin{array}{rr}
f(r) & 0 \\
d(r) & f(r)
\end{array}\right]
$$

## Example

More generally we can consider the subring of lower triangular matrices

$$
L=\left\{\left.\left[\begin{array}{cc}
s & 0 \\
s^{\prime} & s^{\prime \prime}
\end{array}\right] \right\rvert\, s, s^{\prime}, s^{\prime \prime} \in S\right\}
$$

Then a homomorphism $R \longrightarrow \operatorname{Mat}_{2}(S)$ that factors through $L$ corresponds to a pair of homomorphisms $f, g: R \longrightarrow S$ and a derivation $d$ from $f$ to $g$, i.e. an additive function $d: R \longrightarrow S$ such that

$$
d\left(r r^{\prime}\right)=d(r) f\left(r^{\prime}\right)+g(r) d\left(r^{\prime}\right)
$$

## A graded category of rings

- Homomorphisms $f: R \longrightarrow \operatorname{Mat}_{p}(S)$ and $g: S \longrightarrow \operatorname{Mat}_{q}(T)$ correspond to bimodules

$$
S^{(p)}: R \longrightarrow S \quad \text { and } \quad T^{(q)}: S \longrightarrow T
$$

and we can compose these

$$
T^{(q)} \otimes_{s} S^{(p)} \cong T^{(p q)}
$$

- This gives a composite gf

$$
R \xrightarrow{f} \operatorname{Mat}_{p}(S) \xrightarrow{\operatorname{Mat}_{p}(g)} \operatorname{Mat}_{p} \operatorname{Mat}_{q}(T) \cong \operatorname{Mat}_{p q}(T)
$$

Thus we first apply $f$ to an element $r \in R$ to get a $p \times p$ matrix in $S$, and then apply $g$ to each entry separately to get a $p \times p$ block matrix of $q \times q$ matrices, and then consider this as a $(p q) \times(p q)$ matrix

## Theorem

With this composition we get an $\left(\mathbb{N}^{+}, \cdot\right)$-graded category Matring whose objects are rings and whose morphisms of degree $p$ are homomorphisms into $p \times p$ matrices:

$$
\frac{R \stackrel{(p, f)}{\longrightarrow} S \text { in Matring }}{f: R \longrightarrow \operatorname{Mat}_{p}(S) \text { in Ring }}
$$

The graded double category of rings
The double category Matring

- Objects rings
- Horizontal arrows $(p, f): R \longrightarrow R^{\prime}$
- Vertical arrows are bimodules $M: R \longrightarrow S$
- A double cell
is a linear map (a cell in $\mathbb{R}$ ing)

where $M a t_{q, p}\left(M^{\prime}\right)$ is the bimodule of $q \times p$ matrices with entries in $M^{\prime}$, with the $M a t_{q}\left(S^{\prime}\right)$ action given by matrix multiplication on the left, and similarly for $M_{p}\left(R^{\prime}\right)$

Properties of Matring

Theorem
(1) Matring is a double category
(2) Every horizontal arrow has a companion
(3) Every horizontal arrow has a conjoint
(4) The vertically full double subcategory determined by the morphisms of degree 1 is isomorphic to $\mathbb{R}$ ing

## Cauchy completeness

- If a horizontal arrow $f: A \longrightarrow B$ in a double category $\mathbb{A}$ has a companion $f_{*}$ and a conjoint $f^{*}$ then $f_{*}$ is left adjoint to $f^{*}$ in $\mathcal{V}$ ert $\mathbb{A}$
- Say that $B$ is Cauchy complete if every adjoint pair $v \dashv u, v: A \longrightarrow B$, $u: B \longrightarrow A$ is of the form $f_{*} \dashv f^{*}$ for some $f: A \longrightarrow B$
- $\mathbb{A}$ is Cauchy if every object is Cauchy complete

Example<br>$\mathbb{R e l}$ is Cauchy

## Characterization for bimodules

The following theorem is well-known

## Theorem

A bimodule $M: R \longrightarrow S$ has a right adjoint in $\mathcal{B i m}$ if and only if it is finitely generated and projective as a left S-module

## Finitely generated projective

$M$ is finitely generated, by $m_{1}, \ldots, m_{p}$ say, if and only if the $S$-linear map

$$
\tau: S^{(p)} \longrightarrow M
$$

$\tau\left(s_{1} \ldots s_{p}\right)=\sum_{i=1}^{p} s_{i} m_{i}$ is surjective. If $M$ is $S$-projective, then $\tau$ splits, i.e. there is an $S$-linear map

$$
\sigma: M \longrightarrow S^{(p)}
$$

such that $\tau \sigma=1_{M}$. In fact, $M$ is a finitely generated and projective $S$-module if and only if there exist $p, \tau, \sigma$ such that $\tau \sigma=1_{M}$

Let the components of $\sigma$ be $\sigma_{1}, \ldots, \sigma_{p}: M \longrightarrow S$. Then $\tau \sigma=1_{M}$ means that for every $m \in M$ we will have

$$
m=\sum_{i=1}^{p} \sigma_{i}(m) m_{i}
$$

i.e. the $\sigma_{i}$ provide an $S$-linear choice of coordinates for $m$ relative to the generators $m_{1} \ldots m_{p}$. All of this is independent of $R$

## Non-unital homomorphisms

For any $r$ we can write

$$
m_{i} r=\sum_{j=1}^{p} \sigma_{j}\left(m_{i} r\right) m_{j}
$$

If we let $f_{i j}(r)=\sigma_{j}\left(m_{i} r\right)$ we get the same formula as for Matring (on frame 15)

$$
m_{i} r=\sum_{j=1}^{p} f_{i j}(r) m_{j}
$$

## Theorem

(1) The functions $f_{i j}$ define a non-unital homomorphism $f: R \longrightarrow \operatorname{Mat}_{p}(S)$
(2) Any such homomorphism comes from a bimodule which is finitely generated and projective as a left S-module
(3) Two representations $(p, f)$ and $(q, g)$ of the same $S$ - $R$-bimodule (finitely generated projective over $S$ ) are related as follows: there is a $q \times p$ matrix $A$ and a $p \times q$ matrix $B$, both with entries in $S$, such that
(a) $\operatorname{Af}(1)=A$ and $A f(r)=g(r) A$
(b) $B g(1)=B$ and $B g(r)=f(r) B$
(c) $A B=g(1)$ and $B A=f(1)$

## Amplifying homomorphisms

Non-unital homomorphisms $R \longrightarrow \operatorname{Mat}_{p}(S)$ have already appeared in the quantum field theory literature
(see e.g. Szlachanyi, K, Vecsernyes, K, Quantum symmetry and braid group statistics in G-spin models, Commun. Math. Phys. 156, 127-168 (1993)) where they are called amplifying homomorphisms or amplimorphisms for short

## The double category Ampli

- Objects: rings
- Horizontal arrows: amplimorphisms $R \longrightarrow S$,
- Vertical arrows: bimodules $M: R \longrightarrow S$
- Cells:

i.e. additive functions $\phi: M \longrightarrow M a t_{q, p}\left(M^{\prime}\right)$ such that

$$
\phi(m r)=\phi(m) f(r) \quad \phi(s m)=g(s) \phi(m)
$$

## Theorem

(1) Ampli is a double category
(2) Ampli is vertically self dual
(3) Every horizontal arrow has a companion and a conjoint
(4) Ampli is Cauchy

## Part II

## Functors

## Monadic

Ring is monadic over Set
$U:$ Ring $\longrightarrow$ Set Forgetful
$F:$ Set $\longrightarrow$ Ring Free
$F(X)=\mathbb{Z}\{X\}=$ Ring of polynomials with integer coefficients in the non-commuting variables $x, y, z \ldots \in X$
$F \dashv U$

Gives a monad $T=U F$ on Set
Ring is the category of algebras for $T$

Can we extend this to $\mathbb{R} i n g ?$

## Set

Set is the double category of sets

- Objects are sets
- Horizontal arrows are functions
- Vertical arrows are spans
- Cells are span morphisms

- Vertical composition uses pullbacks


## Spans

A span is to be thought of as a constructive or intensional relation Suppose we have a span


How can $x \in X$ be related to $y \in Y$ ?
If there's an $a \in A$ such that $x=p(a)$ and $y=q(a)$ $a$ is the reason (or proof) that $x$ is related to $y$

$$
x \sim_{a} y
$$

## Vertical composition



How can $x \in X$ be related to $z \in Z$ ?

There should be a $y$ and "reasons" $a$ and $b$ such that

$$
x \sim_{a} y \text { and } y \sim_{b} z
$$

Hence the pullback

The forgetful functor $U: \mathbb{R}$ ing $\longrightarrow$ Set
$U R=$ Underlying set of $R$
$U f=$ Underlying function of $f$
For a bimodule $M: R \longrightarrow S$


For a cell


## Why this U?

Recall: If $M$ is free over $S$ on one generator $m$, it induces a homomorphisms $f: R \longrightarrow S$. $f(r)$ is the unique $s$ such that

$$
s m=m r
$$

If $m$ is not a free generator we just get a relation, but a constructive one: $m$ is the reason that $s$ is related to $r$

$$
s \sim_{m} r \Longleftrightarrow s m=m r
$$

Note that $\sim_{m}$ is a "ring congruence"
$-s \sim_{m} r \& s^{\prime} \sim_{m} r^{\prime} \Rightarrow s+s^{\prime} \sim_{m} r+r^{\prime}$
$-s \sim_{m} r \& s^{\prime} \sim_{m} r^{\prime} \Rightarrow s s^{\prime} \sim_{m} r r^{\prime}$
$-s \sim_{m} r \& s \sim_{m} r^{\prime} \& s^{\prime} \sim_{m} r^{\prime} \Rightarrow s^{\prime} \sim_{m} r$

## Preservation of composition

$U$ preserves horizontal composition of arrows and cells But it doesn't preserve vertical composition!
Consider $R \xrightarrow{M} S \xrightarrow{\sim} T$

$$
\begin{gathered}
U(N \otimes s M)=\left\{\left(t, \sum n_{i} \otimes m_{i}, r\right) \mid \sum t n_{i} \otimes m_{i}=\sum n \otimes m_{i} r\right\} \\
U(N) \times_{U(S)} U(M)=\{(t, n, s, m, r) \mid t n=n s \quad \& \quad s m=m r\}
\end{gathered}
$$

We have a comparison morphism

$$
\begin{gathered}
\Upsilon_{2}: U(N) \times_{U(S)} U(M) \longrightarrow U\left(N \otimes_{s} M\right) \\
(t, n, s, m, r) \longmapsto(t, n \otimes m, r)
\end{gathered}
$$

There's also a comparison

$$
\begin{gathered}
\Upsilon_{0}: \operatorname{Id}_{U R} \longrightarrow U\left(\operatorname{ld}_{R}\right) \\
r \longmapsto(r, 1, r)
\end{gathered}
$$

Lax double functors
$U$ is a lax double functor

- $\Upsilon_{0}$ and $\Upsilon_{2}$ are horizontally natural
- Satisfy associativity and unit conditions, formally the same as for lax functors of bicategories


## Adjoints to lax double functors

Given a lax double functor $U: \mathbb{B} \longrightarrow \mathbb{A}$ what does it mean for it to have a left adjoint?

- $U$ has to have a left adjoint $F$ at the level of objects and horizontal arrows

$$
\frac{F A \longrightarrow B}{A \longrightarrow U B}
$$

- U has to have a left adjoint at the level of vertical arrows and cells

and the vertical domain and codomain of $F v$ must be $F A$ and $F A^{\prime}$ Then the mates calculus automatically makes $F$ into an oplax double functor

This is the general situation for adjunctions $F \dashv U$ between double categories $U: \mathbb{B} \longrightarrow \mathbb{A}, F: \mathbb{A} \longrightarrow \mathbb{B}, F$ is oplax and $U$ is lax

## Adjoint to $U$

$U: \mathbb{R i n g} \longrightarrow$ Set does have a left adjoint $F$
$F X=\mathbb{Z}\{X\}=$ Free ring on $X$
$X \quad F(p, q)=\mathbb{Z}\{Y\} A \mathbb{Z}\{X\} / q A p$

- $\mathbb{Z}\{Y\} A \mathbb{Z}\{X\}$ is the free $\mathbb{Z}\{Y\}-\mathbb{Z}\{X\}$ bimodule generated by $A$ (finite sums of things like

$$
\left.\left(2 y_{1} y_{2}-y_{2} y_{1}+y_{3}^{3}\right) a\left(x_{2}^{2}+3 x_{1} x_{3}\right)\right)
$$

- $q A p$ is the subbimodule generated by $\{q(a) a-a p(a) \mid a \in A\}$
$F$ is truly oplax - not pseudo nor even normal


## Monad?

Given an oplax-lax adjunction, the composite $T=U F$ is neither lax nor oplax Enough structure is there to define algebras $T A \xrightarrow{a} A$, horizontal morphisms $(A, a) \xrightarrow{f}(C, c)$
Vertical morphisms

and cells

$$
\begin{aligned}
(A, a) & \xrightarrow{f}(C, c) \\
(v, \alpha) & \stackrel{\gamma}{\Rightarrow} \quad \downarrow(w, \beta) \\
\downarrow & \downarrow \\
(B, b) & \rightarrow \\
\hline g & (D, d)
\end{aligned}
$$



## What we can get

Horizontal morphisms can be composed and cells composed horizontally But there is no way to compose vertical arrows! (Nor compose cells vertically) There are forgetful $V$ and free $G$ and a comparison $\Phi$ :


They are horizontally functorial, but that's it If we allow ourselves to use the $F$ and $U$ we can make $\mathbb{A l g}(T)$ into a virtual double category
C.f. Cruttwell and Shulman, A unified framework for generalized multicategories, TAC Vol. 24 (2010)

## Questions

1. Is there a workable theory for monads coming from oplax-lax adjunctions?
2. How do the double categories Matring and Ampli fit in?
3. 


¡Gracias!

