A case study in double categories

Robert Paré

UNAM - Seminar

February 10, 2021

Part I

Morphisms

- The category **Ring** has (not necessarily commutative) rings with 1 as objects and homomorphisms preserving 1 as morphisms
- This is a very good category. It's monadic over **Set**, so complete and cocomplete. It's locally finitely presentable, etc.
- So why mess with it?

Bimodules

• Given rings R and S, an S-R-bimodule M is simultaneously a left S-module and a right R-module whose left and right actions commute

$$(sm)r = s(mr)$$

• If T is another ring and N a T-S-bimodule, the tensor product over S, $N \otimes_S M$ is naturally a T-R-bimodule. We have associativity isomorphisms

$$P \otimes_{\mathcal{T}} (N \otimes_{\mathcal{S}} M) \cong (P \otimes_{\mathcal{T}} N) \otimes_{\mathcal{S}} M$$

and unit isomorphisms

$$M \otimes_R R \cong M \cong S \otimes_S M$$

• To keep track of the various rings involved and what's acting on what and on which side we can write

 $M: R \longrightarrow S$

to mean that M is an S-R-bimodule

• The tensor product looks like a composition



- Rings with bimodules as morphisms is not a category but a bicategory, Bim
- In a bicategory we have objects and morphisms which compose, but composition is only associative and unitary up to isomorphism
- To express this isomorphism we need morphisms between morphisms



called 2-cells

$\mathcal{B}im$

- In our example *Bim*
 - Objects are rings
 - Morphisms (1-cells) are bimodules
 - A 2-cell



is a linear map of bimodules, i.e. a function such that

$$\phi(m_1 + m_2) = \phi(m_1) + \phi(m_2)$$

$$\phi(sm) = s\phi(m)$$

$$\phi(mr) = \phi(m)r$$

- Bim is a very good bicategory
 - Cartesian bicategory
 - Biclosed

$$\frac{M \longrightarrow N \otimes_T P}{N \otimes_S M \longrightarrow P}$$
$$\frac{M \longrightarrow P \otimes_R M}{N \longrightarrow P \otimes_R M}$$

Robert Paré (Dalhousie University)

Double categories

A double category A has objects (A, B, C, D below) and two kinds of morphism, strong, which we call horizontal (f, g below) and weak, or vertical (v, w below) These are related by a further kind of morphism, double cells as in



- The horizontal arrows form a category **Hor**A with composition denoted by juxtaposition and identities by 1_A. Cells can also be composed horizontally forming a category
- The vertical arrows compose to give a bicategory $\mathcal{V}ert\mathbb{A}$ whose 2-cells are the *globular cells* of \mathbb{A} , i.e. those with identities on the top and bottom

$$\begin{array}{c} A \xrightarrow{1_{A}} A \\ \downarrow & \downarrow \\ \downarrow & \downarrow \\ C \xrightarrow{1_{C}} C \end{array}$$

Vertical composition is denoted by \bullet and vertical identities by id_A

Example

Rel has sets as objects and functions as horizontal arrows, so Hor Rel = Set. A vertical arrow $R : X \longrightarrow Y$ is a relation between X and Y and there is a unique cell



if (and only if) we have

$$\forall_{x,y}(x\sim_R y\Rightarrow f(x)\sim_{R'} g(y))$$

The double category $\mathbb{R}\mathrm{ing}$

- Objects are rings
- Horizontal arrows are homomorphisms
- Vertical arrows are bimodules
- A double cell



is a linear map in the sense that it preserves addition and is compatible with the actions

$$\phi(sm) = g(s)\phi(m)$$

 $\phi(mr) = \phi(m)f(r)$

• Vertical composition is \otimes

Companions

Let A be a double category, f : A→ B a horizontal arrow, and v : A→ B a vertical one in A. We say that v is a *companion* of f if we are given cells, the *binding cells*, α and β, such that



Companions, when they exist, are unique up to isomorphism, and we use the notation f_\ast to denote a choice of companion for f

• In \mathbb{R} el, every function $f: A \longrightarrow B$ has a companion, viz. its graph $Gr(f) \subseteq A \times B$

Proposition

(a) In Ring, every homomorphism $f : R \longrightarrow S$ has a companion, namely S considered as an S-R-bimodule with actions \diamond given by

$$egin{array}{rcl} s'_{\diamond}s &=& s's\ s_{\diamond}r &=& sf(r) \end{array}$$

(b) A bimodule $M : R \longrightarrow S$ is a companion, i.e. is of the form f_* for some horizontal arrow f, if and only if it is free of rank 1 as a left S-module (c) Homomorphisms corresponding to different free generators are related by conjugation by a unit of S

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

 $f(r_1 + r_2)m = m(r_1 + r_2) = mr_1 + mr_2 = f(r_1)m + f(r_2)m = (f(r_1) + f(r_2))m$ So $f(r_1 + r_2) = f(r_1) + f(r_2)$

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

$$f(r_1 + r_2)m = m(r_1 + r_2) = mr_1 + mr_2 = f(r_1)m + f(r_2)m = (f(r_1) + f(r_2))m$$

So $f(r_1 + r_2) = f(r_1) + f(r_2)$

Similarly $f(r_1r_2) = f(r_1)f(r_2)$ and f(1) = 1

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

$$f(r_1 + r_2)m = m(r_1 + r_2) = mr_1 + mr_2 = f(r_1)m + f(r_2)m = (f(r_1) + f(r_2))m$$

So $f(r_1 + r_2) = f(r_1) + f(r_2)$

Similarly $f(r_1r_2) = f(r_1)f(r_2)$ and f(1) = 1

(c) Suppose $n \in M$ is another free generator

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

$$f(r_1 + r_2)m = m(r_1 + r_2) = mr_1 + mr_2 = f(r_1)m + f(r_2)m = (f(r_1) + f(r_2))m$$

So $f(r_1 + r_2) = f(r_1) + f(r_2)$

Similarly $f(r_1r_2) = f(r_1)f(r_2)$ and f(1) = 1

(c) Suppose $n \in M$ is another free generator

There is an invertible element $a \in S$ such that n = am

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

$$f(r_1 + r_2)m = m(r_1 + r_2) = mr_1 + mr_2 = f(r_1)m + f(r_2)m = (f(r_1) + f(r_2))m$$

So $f(r_1 + r_2) = f(r_1) + f(r_2)$

Similarly $f(r_1r_2) = f(r_1)f(r_2)$ and f(1) = 1

(c) Suppose $n \in M$ is another free generator

There is an invertible element $a \in S$ such that n = am

If g is the homomorphism determined by n,

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

$$f(r_1 + r_2)m = m(r_1 + r_2) = mr_1 + mr_2 = f(r_1)m + f(r_2)m = (f(r_1) + f(r_2))m$$

So $f(r_1 + r_2) = f(r_1) + f(r_2)$

Similarly $f(r_1r_2) = f(r_1)f(r_2)$ and f(1) = 1

(c) Suppose $n \in M$ is another free generator

There is an invertible element $a \in S$ such that n = am

If g is the homomorphism determined by n,

then
$$g(r)n = nr = amr = af(r)m = af(r)a^{-1}n$$

(b) Let $M: R \longrightarrow S$ is freely generated by $m \in M$

For each $r \in R$ there is a unique $s \in S$ such that mr = sm

Let f(r) be that s, so that f is uniquely determined by mr = f(r)m

$$f(r_1 + r_2)m = m(r_1 + r_2) = mr_1 + mr_2 = f(r_1)m + f(r_2)m = (f(r_1) + f(r_2))m$$

So $f(r_1 + r_2) = f(r_1) + f(r_2)$

Similarly $f(r_1r_2) = f(r_1)f(r_2)$ and f(1) = 1

(c) Suppose $n \in M$ is another free generator

There is an invertible element $a \in S$ such that n = am

If g is the homomorphism determined by n,

then $g(r)n = nr = amr = af(r)m = af(r)a^{-1}n$

so $g(r) = af(r)a^{-1}$

Conjoints

Let f : A→B be a horizontal arrow in a double category A and v : B→A a vertical one. We say that v is conjoint to f if we are given cells ψ and χ (conjunctions) such that



In Ring, every homomorphism f : R→S has a conjoint f*, namely S : S → R with left action by R given by "restriction"

$$r \circ s = f(r)s$$

Robert Paré (Dalhousie University)

A case study in double categories

- Homomorphisms f: R → S correspond to bimodules M: R → S which are free on one generator as left S-modules
- What if *M* is free on 2 generators?
- Assume M free on m₁, m₂ as a left S-module. Nothing is said about the right action (as before). Then for each r ∈ R we get unique s₁₁, s₁₂, s₂₁, s₂₂ ∈ S such that

 $m_1 r = s_{11} m_1 + s_{12} m_2$ $m_2 r = s_{21} m_1 + s_{22} m_2$

Let's denote s_{ij} by $f_{ij}(r)$. So to each r we associate not 2 but 4 elements of S or rather a 2 \times 2 matrix in S

If M is free on p generators m_1, \ldots, m_p :

$$m_i r = \sum_{j=1}^{p} f_{ij}(r) m_j$$

Theorem

(a) Any matrix-valued homomorphism $f : R \longrightarrow Mat_p(S)$ induces an S-R-bimodule structure on $S^{(p)}$

1

(b) Any S-R-bimodule $M : R \longrightarrow S$ which is free on p generators as a left S-module is isomorphic (as on S-R-bimodule) to $S^{(p)}$ with R-action induced by a homomorphism $f : R \longrightarrow Mat_p(S)$ as in (a)

(c) Homomorphisms corresponding to different free generators are related by conjugation by an invertible $p \times p$ matrix A in $Mat_p(S)$

(Pairs of homomorphisms) Let $f, g: R \longrightarrow S$ be homomorphisms. Then we get a homomorphism $h: R \longrightarrow Mat_2(S)$ given by

$$h(r) = \left[\begin{array}{cc} f(r) & 0 \\ 0 & g(r) \end{array} \right]$$

(Derivations)

Let $f: R \longrightarrow S$ be a homomorphism and d an f-derivation, i.e. an additive function $d: R \longrightarrow S$ such that

$$d(rr') = d(r)f(r') + f(r)d(r')$$

Then we get a homomorphism $R \longrightarrow Mat_2(S)$

$$r \longmapsto \left[egin{array}{cc} f(r) & 0 \\ d(r) & f(r) \end{array}
ight]$$

More generally we can consider the subring of lower triangular matrices

$$L = \left\{ \left[\begin{array}{cc} s & 0 \\ s' & s'' \end{array} \right] \middle| s, s', s'' \in S \right\}$$

Then a homomorphism $R \longrightarrow Mat_2(S)$ that factors through L corresponds to a pair of homomorphisms $f, g: R \longrightarrow S$ and a derivation d from f to g, i.e. an additive function $d: R \longrightarrow S$ such that

$$d(rr') = d(r)f(r') + g(r)d(r')$$

A graded category of rings

• Homomorphisms $f: R \longrightarrow Mat_p(S)$ and $g: S \longrightarrow Mat_q(T)$ correspond to bimodules

$$S^{(p)}: R \longrightarrow S$$
 and $T^{(q)}: S \longrightarrow T$,

and we can compose these

$$T^{(q)}\otimes_S S^{(p)}\cong T^{(pq)}$$

• This gives a composite gf

$$R \xrightarrow{f} Mat_{p}(S) \xrightarrow{Mat_{p}(g)} Mat_{p}Mat_{q}(T) \cong Mat_{pq}(T)$$

Thus we first apply f to an element $r \in R$ to get a $p \times p$ matrix in S, and then apply g to each entry separately to get a $p \times p$ block matrix of $q \times q$ matrices, and then consider this as a $(pq) \times (pq)$ matrix

Theorem

With this composition we get an (\mathbb{N}^+, \cdot) -graded category **Matring** whose objects are rings and whose morphisms of degree p are homomorphisms into $p \times p$ matrices:

$$\frac{R \xrightarrow{(p,f)} S \text{ in Matring}}{f: R \longrightarrow Mat_p(S) \text{ in Ring}}$$

The graded double category of rings

The double category $\operatorname{Matring}$

- Objects rings
- Horizontal arrows $(p, f): R \longrightarrow R'$
- Vertical arrows are bimodules $M: R \longrightarrow S$
- A double cell



is a linear map (a cell in $\mathbb{R}ing$)

$$R \xrightarrow{f} Mat_{p}(R')$$

$$M \downarrow \qquad \Rightarrow \qquad \downarrow^{Mat_{q,p}(M')}$$

$$S \xrightarrow{g} Mat_{q}(S')$$

where $Mat_{q,p}(M')$ is the bimodule of $q \times p$ matrices with entries in M', with the $Mat_q(S')$ action given by matrix multiplication on the left, and similarly for $Mat_p(R')$

Theorem

(1) Matring is a double category

(2) Every horizontal arrow has a companion

(3) Every horizontal arrow has a conjoint

(4) The vertically full double subcategory determined by the morphisms of degree 1 is isomorphic to $\mathbb{R}ing$

- If a horizontal arrow f: A→ B in a double category A has a companion f_{*} and a conjoint f^{*} then f_{*} is left adjoint to f^{*} in VertA
- Say that B is Cauchy complete if every adjoint pair v ⊢ u, v: A → B,
 u: B → A is of the form f_{*} ⊢ f^{*} for some f: A → B
- A is Cauchy if every object is Cauchy complete

Example

 \mathbb{R} el is Cauchy

The following theorem is well-known

Theorem

A bimodule $M : R \longrightarrow S$ has a right adjoint in B im if and only if it is finitely generated and projective as a left S-module

Finitely generated projective

M is finitely generated, by m_1, \ldots, m_p say, if and only if the S-linear map

$$\tau: S^{(p)} \longrightarrow M$$

 $\tau(s_1 \dots s_p) = \sum_{i=1}^p s_i m_i$ is surjective. If M is S-projective, then τ splits, i.e. there is an S-linear map

$$\sigma: M \longrightarrow S^{(p)}$$

such that $\tau \sigma = \mathbf{1}_M$. In fact, M is a finitely generated and projective S-module if and only if there exist p, τ, σ such that $\tau \sigma = \mathbf{1}_M$

Let the components of σ be $\sigma_1, \ldots, \sigma_p : M \longrightarrow S$. Then $\tau \sigma = 1_M$ means that for every $m \in M$ we will have

$$m=\sum_{i=1}^p\sigma_i(m)m_i$$

i.e. the σ_i provide an *S*-linear choice of coordinates for *m* relative to the generators $m_1 \dots m_p$. All of this is independent of *R*

Non-unital homomorphisms

For any r we can write

$$m_i r = \sum_{j=1}^p \sigma_j(m_i r) m_j$$

If we let $f_{ij}(r) = \sigma_j(m_i r)$ we get the same formula as for Matring (on frame 15)

$$m_i r = \sum_{j=1}^p f_{ij}(r) m_j$$

Theorem

(1) The functions f_{ij} define a non-unital homomorphism $f : R \longrightarrow Mat_p(S)$ (2) Any such homomorphism comes from a bimodule which is finitely generated and projective as a left S-module

(3) Two representations (p, f) and (q, g) of the same S-R-bimodule (finitely generated projective over S) are related as follows: there is a $q \times p$ matrix A and a $p \times q$ matrix B, both with entries in S, such that

(a)
$$Af(1) = A$$
 and $Af(r) = g(r)A$

(b)
$$Bg(1) = B$$
 and $Bg(r) = f(r)B$

(c)
$$AB = g(1)$$
 and $BA = f(1)$

Non-unital homomorphisms $R \longrightarrow Mat_{\rho}(S)$ have already appeared in the quantum field theory literature

(see e.g. Szlachanyi, K, Vecsernyes, K, Quantum symmetry and braid group statistics in *G*-spin models, Commun. Math. Phys. 156, 127-168 (1993)) where they are called *amplifying homomorphisms* or *amplimorphisms* for short

The double category Ampli

- Objects: rings
- Horizontal arrows: amplimorphisms $R \longrightarrow S$,
- Vertical arrows: bimodules $M: R \longrightarrow S$
- Cells:

$$\begin{array}{ccc} R \xrightarrow{(\rho,f)} R' & R \xrightarrow{f} Mat_{p}(R') \\ M & \stackrel{\phi}{\downarrow} \xrightarrow{\phi} & \stackrel{f}{\downarrow} M' & \text{are cells} & M & \stackrel{\phi}{\downarrow} \xrightarrow{\phi} & \stackrel{f}{\downarrow} Mat_{q,p}(M') \\ S \xrightarrow{(q,g)} S' & S \xrightarrow{-g} Mat_{q}(S') \end{array}$$

i.e. additive functions $\phi: M \longrightarrow Mat_{q,p}(M')$ such that

$$\phi(mr) = \phi(m)f(r)$$
 $\phi(sm) = g(s)\phi(m)$

Theorem

(1) Ampli is a double category
(2) Ampli is vertically self dual
(3) Every horizontal arrow has a companion and a conjoint
(4) Ampli is Cauchy

Part II

Functors

Monadic

Ring is monadic over Set

- $U: \operatorname{Ring} \longrightarrow \operatorname{Set}$ Forgetful
- F:**Set** \longrightarrow **Ring** Free

 $F(X) = \mathbb{Z}{X} = \text{Ring of polynomials with integer coefficients in the non-commuting variables } x, y, z... \in X$

 $F \dashv U$

Gives a monad T = UF on **Set**

Ring is the category of algebras for T

Can we extend this to $\mathbb{R}ing$?

 $\ensuremath{\mathbb{S}\mathrm{et}}$ is the double category of sets

- Objects are sets
- Horizontal arrows are functions
- Vertical arrows are spans
- Cells are span morphisms





- Vertical composition uses pullbacks

A span is to be thought of as a constructive or intensional relation Suppose we have a span



How can $x \in X$ be related to $y \in Y$? If there's an $a \in A$ such that x = p(a) and y = q(a)a is the reason (or proof) that x is related to y

$$x \sim_a y$$

Vertical composition



How can $x \in X$ be related to $z \in Z$?

There should be a y and "reasons" a and b such that

$$x \sim_a y$$
 and $y \sim_b z$

Hence the pullback

The forgetful functor $U: \mathbb{R}ing \longrightarrow \mathbb{S}et$

UR = Underlying set of RUf = Underlying function of fFor a bimodule $M: R \longrightarrow S$



Recall: If *M* is free over *S* on one generator *m*, it induces a homomorphisms $f: R \longrightarrow S$. f(r) is the unique *s* such that

$$sm = mr$$

If m is not a free generator we just get a relation, but a constructive one: m is the reason that s is related to r

$$s \sim_m r \iff sm = mr$$

Note that \sim_m is a "ring congruence"

Preservation of composition

U preserves horizontal composition of arrows and cells But it doesn't preserve vertical composition!

Consider $R \xrightarrow{M} S \xrightarrow{N} T$

$$U(N \otimes_{S} M) = \{(t, \sum n_{i} \otimes m_{i}, r) | \sum tn_{i} \otimes m_{i} = \sum n \otimes m_{i}r\}$$

$$U(N) \times_{U(S)} U(M) = \{(t, n, s, m, r) | tn = ns \& sm = mr \}$$

We have a comparison morphism

$$\Upsilon_2\colon U(N)\times_{U(S)}U(M)\longrightarrow U(N\otimes_S M)$$

$$(t, n, s, m, r) \mapsto (t, n \otimes m, r)$$

There's also a comparison

$$\Upsilon_0: \operatorname{Id}_{UR} \longrightarrow U(\operatorname{Id}_R)$$

$$r \mapsto (r, 1, r)$$

Robert Paré (Dalhousie University)

U is a lax double functor

- Υ_0 and Υ_2 are horizontally natural
- Satisfy associativity and unit conditions, formally the same as for lax functors of bicategories

Adjoints to lax double functors

Given a lax double functor $U: \mathbb{B} \longrightarrow \mathbb{A}$ what does it mean for it to have a left adjoint?

- U has to have a left adjoint F at the level of objects and horizontal arrows

$$\frac{FA \longrightarrow B}{A \longrightarrow UB}$$

- U has to have a left adjoint at the level of vertical arrows and cells

$$\begin{array}{c|c} FA \longrightarrow B \\ Fv \downarrow \Rightarrow \downarrow^w \\ FA' \longrightarrow B' \end{array} \qquad A \longrightarrow UB \\ v \downarrow \Rightarrow \downarrow^U \\ v \downarrow \Rightarrow \downarrow^U \\ A' \longrightarrow UB' \end{array}$$

and the vertical domain and codomain of Fv must be FA and FA'Then the mates calculus automatically makes F into an oplax double functor

This is the general situation for adjunctions $F \dashv U$ between double categories $U : \mathbb{B} \longrightarrow \mathbb{A}, F : \mathbb{A} \longrightarrow \mathbb{B}, F$ is oplax and U is lax

Adjoint to U

 $U: \mathbb{R}ing \longrightarrow \mathbb{S}et$ does have a left adjoint F

 $FX = \mathbb{Z}{X} = Free ring on X$



F is truly oplax - not pseudo nor even normal

Monad?

Given an oplax-lax adjunction, the composite T = UF is neither lax nor oplax. Enough structure is there to define algebras $TA \xrightarrow{a} A$, horizontal morphisms $(A, a) \xrightarrow{f} (C, c)$ Vertical morphisms



and cells





Robert Paré (Dalhousie University)

Horizontal morphisms can be composed and cells composed horizontally But there is no way to compose vertical arrows! (Nor compose cells vertically) There are forgetful V and free G and a comparison Φ :



They are horizontally functorial, but that's it

If we allow ourselves to use the F and U we can make Alg(T) into a virtual double category

C.f. Cruttwell and Shulman, A unified framework for generalized multicategories, TAC Vol. 24 (2010)

- 1. Is there a workable theory for monads coming from oplax-lax adjunctions?
- 2. How do the double categories Matring and Ampli fit in?

3.



¡Gracias!